



CITY OF LODI COUNCIL COMMUNICATION

TM

AGENDA TITLE: Adopt Resolution Authorizing the City Manager to File Claim for 2004/05 Transportation Development Act (TDA) Funds in the Amount of \$2,229,362 from the Local Transportation Fund (LTF) and \$6,482 from State Transit Assistance (STA)

MEETING DATE: October 6, 2004

PREPARED BY: Public Works Director

RECOMMENDED ACTION: That the City Council adopt a resolution authorizing the City Manager to file a claim for the 2004/2005 Transportation Development Act (TDA) funds in the amount of \$2,229,362 (including \$122,000 in unexpended carryover) from Local Transportation Fund (LTF) and \$6,482 from State Transit Assistance (STA).

BACKGROUND INFORMATION: Each year, the City of Lodi receives an apportionment of TDA funds to support Lodi's transit operations and pedestrian/bicycle costs. These are State transportation funds that are primarily for non-vehicular transportation but can be used on roads if those other needs are being met. They are channeled through the Council of Governments, our regional transportation planning agency. The claim for fiscal year 2004/2005, including pedestrian/bike, unexpended carryover and 3% for San Joaquin Council of Governments planning, is \$2,229,362 from LTF and \$6,482 from STA. A copy of the claim is attached.

The City Council should be aware that our transit operations, Dial-A-Ride and GrapeLine, are fully funded with formula dollars from the Federal Transit Administration (FTA), TDA, and other competitive sources of funds. Transit is not dependent on any General Fund money. We intend to continue to use TDA funds for transit, pedestrian, and bicycle-related projects and maintenance, as much as possible.

FUNDING: None required.

Richard C. Prima, Jr.
Public Works Director

Prepared by Tiffani M. Fink, Transportation Manager
RCP/TMF/pmf
Attachment
cc: Finance Director
City Engineer

APPROVED:

Janet S. Keeter, Interim City Manager

LOCAL TRANSPORTATION FUND

TO: San Joaquin Council of Governments
555 E. Weber Avenue
Stockton, CA 95202

FROM: City of Lodi, California
(applicant)

ADDRESS: 221 West Pine Street Lodi, CA 95240
(city, zip)

CONTACT PERSON: Tiffani M. Fink, Trans Mgr PHONE: (209) 333-6800 x 2678

The City of Lodi, California hereby requests, in accordance with Chapter 1400, Statutes 1971 and applicable rules and regulations, that its annual transportation claim be approved in the amount of \$ 2,157,372.00 for fiscal year 2004/2005, to be drawn from the Local Transportation Fund.

When approved, please transmit this claim to the County Auditor for payment. Approval of the claim and payment by the County Auditor to this applicant is subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved annual financial plan.

The claimant certifies that this Local Transportation Fund claim and the financial information contained therein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED:

San Joaquin Council
of Governments

By: _____
JULIA E. GREENE
Executive Director

Date: _____ 20 ____

Applicant: _____

Signed: _____

Name: _____

Title: Janet S. Keeter
Interim City Manager

Date: _____ 20 ____

STATE TRANSIT ASSISTANCE CLAIM

TO: San Joaquin Council of Governments
555 E. Weber Avenue
Stockton, CA 95202

FROM: City of Lodi, California
(applicant)

ADDRESS: 221 West Pine Street Lodi, CA 95240
(city, zip)

CONTACT PERSON: Tiffani M. Fink, Trans Mgr PHONE: (209) 333-6800 x 2678

This claimant, qualified pursuant to Sections 99313.6, 99314.5 and 99314.6 of the Public Utilities Code, hereby requests, in accordance with Chapter 1400, Statutes of 1971 as amended, and applicable rules and regulations, that an allocation be made in the amount of \$ 6,482.00 for fiscal year 2004/2005 to be drawn from the State Transit Assistance trust fund of San Joaquin County.

Allocation instruction and payment by the County Auditor to this claimant are subject to such monies being on hand and available for distribution, and to the provisions that such monies will be used only in accordance with the terms of the approved claim.

The claimant certifies that this State Transit Assistance Fund Claim and the financial information contained herein, is reasonable and accurate to the best of my knowledge, and that the aforementioned information indicates the eligibility of this claimant for funds for the fiscal year of the application pursuant to CAC Section 6634 and 6734.

APPROVED: _____

San Joaquin Council
of Governments

By: _____
JULIA E. GREENE
Executive Director

Date: _____ 20 ____

Applicant: _____

Signed: _____

Name: _____
Janet S. Keeter
Title: Interim City Manager

Date: _____ 20 ____

TRANSPORTATION DEVELOPMENT ACT APPORTIONMENTS

I. Local Transportation Fund Available Apportionment

A. Area Apportionment 2004-2005	\$	<u>1,932,805.00</u>
B. Pedestrian/Bicycle Apportionment		<u>41,027.00</u>
C. Previous Years' Unclaimed Apportionment		<u>71,990.00</u>
D. Unexpended Carryover		<u>122,000.00</u>
E. 3% for COG Transit Planning		<u>61,540.00</u>
F. Total Available for 2004-2005 Claim(s)		<u>2,229,362.00</u>
G. Less any LTF Already Claimed 2004-2005		(<u>0</u>)
H. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 1st column)	\$	<u>2,229,362.00</u>
I. Actual net funds available (H-D-E=I)	\$	<u>2,045,822.00</u> =====

II. State Transit Assistance Fund Available Apportionment

A. Area Apportionment 2004-2005	\$	<u>0</u>
B. Special Operator Apportionment 2004-2005		<u>5,166.00</u>
C. Previous Years' Unclaimed Apportionment		<u>1,316.00</u>
D. Unexpended Carryover		<u>0</u>
E. 2% of A. Claimed on Behalf of COG for Transit Planning		<u>0</u>
F. Total Available for 2004-2005 Claim(s)		(<u>6,482.00</u>)
G. Less any STA Already Claimed 2004-2005		(<u>0</u>)
H. TOTAL AVAILABLE FOR THIS CLAIM (Also enter on page 9, 2nd column)	\$	<u>6,482.00</u>
I. Actual net funds available (H-D-E=I)	\$	<u>6,482.00</u> =====

TRANSPORTATION DEVELOPMENT ACT ALLOCATIONS

<u>Claim Purpose</u>	I. LTF	II. STA
I. PUBLIC TRANSPORTATION		
Article 4 (99260) -Operator ¹	<u>0</u>	
CCR Sec 6730(a) Public Transit		<u>6,482.00</u>
Article 8 (99400(c))		
Contractor operating	<u>1,782,805.00</u>	<u>N/A</u>
Article 8 (99400(e))		
Contractor capital	<u>0</u>	<u>N/A</u>
Article 8 (99400(b))		
Passenger Rail Service		
Operations & Capital	<u>0</u>	<u>N/A</u>
TDA Administration	<u>61,540.00</u>	<u>0</u>
II. PEDESTRIAN AND BICYCLE		
Article 3 (99234)	<u>80,027.00</u>	<u>N/A</u>
III. ROADS AND STREETS		
Article 8 (99400(a))	<u>233,000.00</u>	<u>N/A</u>
IV. OTHER		
Article 8 (99400(b,c,d,e))	<u>0</u>	
TOTAL THIS CLAIM	<u>2,157,372.00</u>	<u>6,482.00</u>
TOTAL AVAILABLE FOR THIS CLAIM		
(from page 8, I. H. and II. H.)	<u>2,229,362.00</u>	<u>6,482.00</u>
UNCLAIMED APPORTIONMENT		
(TOTAL AVAIL. less TOTAL THIS CLAIM)	<u>71,990.00</u>	<u>0</u>

IMPORTANT: To avoid accidental overpayment, please identify and itemize in the space below any unexpended carryover included in the amounts being claimed above. Identify the amount of carryover and the purpose for which it is being reclaimed. Attach pages as necessary.

LTF in Transit Fund to be reclaimed for	_____	\$ _____
LTF in Street & Road Fund reclaimed for	Streets and Roads	\$ <u>83,000.00</u>
LTF in Ped/Bike Fund reclaimed for	Bike/Ped	\$ <u>39,000.00</u>
STA in Transit Fund reclaimed for	_____	\$ _____
TOTAL UNEXPENDED CARRYOVER		\$ <u>122,000.00</u>

¹ Operators claiming STA funds must meet qualifying criteria (PUC Section 99314.6). Page 17 of this form must be completed.

PART I - PUBLIC TRANSPORTATION

PLEASE CIRCLE EITHER:

Article 4 Operator

Article 8 Contractor

FINANCIAL INFORMATION

		2003-2004	2004-2005
		PLEASE CIRCLE	
I.	OPERATING REVENUE	ACTUAL or ESTIMATE	BUDGET
401	Passenger Fares	<u>\$303,261.68</u>	<u>\$300,000.00</u>
402	Special Transit Fares	<u> </u>	<u> </u>
405	Charter Service Revenues	<u> </u>	<u> </u>
406	Auxiliary Transportation Revenues (includes advertising)	<u>29,949.69</u>	<u> </u>
407	Non-Transportation Revenues	<u> </u>	<u> </u>
408	Tax Revenue (Specify:) Property Tax	<u> </u>	<u> </u>
	Sales Tax (not TDA)	<u> </u>	<u> </u>
409	Local Grants & Reimbursements Purchase of Service	<u> </u>	<u> </u>
	Local Transportation Fund (LTF)	<u>1,802,895.00</u>	<u>1,782,805.00</u>
410	Local Special Fare Assistance	<u>5,609.00</u>	<u>6,482.00</u>
411	State Cash Grants & Reimb. State Transit Assistance (STA)	<u>11,056.36</u>	<u>10,000.00</u>
	Other <u> </u>	<u> </u>	<u> </u>
412	State Special Fare Assistance	<u> </u>	<u> </u>
413	Federal Grants & Reimbursements (Specify) FTA Grants	<u>310,440.76</u>	<u>444,314.00</u>
430	Contributed Services (Not Cash)	<u> </u>	<u> </u>
440	Subsidy from other Sector of Operations	<u> </u>	<u> </u>
	TOTAL	<u>2,462,212.49</u>	<u>2,543,601.00</u>
II.	CAPITAL REVENUE		
464	Capital Grants & Subsidies		
	Specify Fed, State, Local:		
	<u>Federal Transit Admin</u>	<u> </u>	<u>55,000.00</u>
	State Transit Assistance (STA)	<u> </u>	<u> </u>
	Local Transportation Fund (LTF)	<u> </u>	<u> </u>
	Non-Governmental Donations	<u> </u>	<u> </u>
	TOTAL	<u> </u>	<u>55,000.00</u>

III. OPERATING EXPENSES

2003-2004
PLEASE CIRCLE
Actual or Estimate 2004-2005
Budget

501	Labor Operators Salaries/Wages Other Salaries/Wages	<u>108,276.75</u>	<u>89,225.00</u>
502	Fringe Benefits	<u>6,739.00</u>	<u>19,385.00</u>
503	Services	<u>189,576.98</u>	<u>185,097.40</u>
504	Materials/Supplies Fuels/Lubricants Tires/Tubes Other	<u>144,367.97</u> <u>231,798.20</u>	<u>115,000.00</u> <u>170,000.00</u>
505	Utilities	<u>58,634.69</u>	<u>28,305.00</u>
506	Casualty/Liability Costs	<u>108,807.00</u>	<u>110,000.00</u>
507	Taxes	<u> </u>	<u> </u>
508	Purchased Transportation Service	<u>1,615,759.39</u>	<u>1,800,000.00</u>
509	Miscellaneous Expenses	<u>38,252.01</u>	<u>26,588.60</u>
510	Expense Transfers	<u> </u>	<u> </u>
511	Interest Expense	<u> </u>	<u> </u>
512	Leases and Rentals	<u> </u>	<u> </u>
513	Depreciation/Amortization Operator Funds Grant Funds	<u> </u> <u> </u>	<u> </u> <u> </u>
	TOTAL	<u>2,462,212.49</u>	<u>2,543,601.00</u>

IV. CAPITAL EXPENSES *

Debt Service	<u> </u>	<u> </u>
Land/Property Acquisition	<u> </u>	<u> </u>
Vehicles	<u> </u>	<u> </u>
Construction	<u> </u>	<u>55,000.00</u>
Other	<u> </u>	<u> </u>
	TOTAL	<u>55,000.00</u>

*Allowable capital expenses are limited for Article 8 claimants; see 99400 (e).

OPERATIONAL INFORMATION*

	<u>Actual</u> <u>FY 2002-03</u>	<u>Actual/Est.</u> <u>FY 2003-04</u>	<u>Proposed</u> <u>FY 2004-05</u>
1. <u>Patronage</u>			
a. Total Passengers	<u>520,886</u>	<u>493,552</u>	<u>520,000</u>
b. Revenue Passengers	<u></u>	<u>352,102</u>	<u>390,000</u>
c. Youth Passengers	<u></u>	<u></u>	<u></u>
d. Elderly Passengers	<u>48,305</u>	<u>89,728</u>	<u>90,000</u>
e. Handicapped Passengers	<u>* Included in Elderly Passenger Count</u>		
2. <u>Vehicle Miles</u>			
a. Total Vehicle Miles	<u></u>	<u>613,500</u>	<u>620,000</u>
b. Revenue Vehicle Miles	<u></u>	<u>599,882</u>	<u>605,000</u>
3. <u>Revenue Vehicle Hours</u>	<u>48,788</u>	<u>52,224</u>	<u>55,000</u>
4. <u>Revenue Vehicle Fuel Consumption</u>			
a. Diesel CNG	<u></u>	<u>102,212.7</u>	<u>105,000</u>
b. Gasoline	<u></u>	<u>27,529.6</u>	<u>30,000</u>
5. <u>Fare Structure</u>			
a. Base	<u>.50/2.00</u>	<u>.50/2.00</u>	<u>.50/2.00</u>
b. Zone	<u></u>	<u></u>	<u></u>
c. Youth	<u></u>	<u></u>	<u></u>
d. Senior	<u>.25/ 1.00</u>	<u>.25/ 1.00</u>	<u>.25/ 1.00</u>
e. Handicapped	<u>.25/ 1.00</u>	<u>.25/ 1.00</u>	<u>.25/ 1.00</u>
f. Monthly Pass	<u>\$20 General/</u>	<u>\$10 Senior/Disabled/</u>	<u>Medicare</u>
g. Other	<u></u>	<u></u>	<u></u>
h. Average Fare	<u>.30/ 1.36</u>	<u>.35/ 1.25</u>	<u>.35/ 1.30</u>

*Attach additional pages as necessary to alter or complete description

THREE YEAR FISCAL PLAN

	2004-05	2005-2006	2006-2007
Operating Expenses	\$ <u>2,543,601.00</u>	\$ <u>2,600,000.00</u>	\$ <u>2,600,000.00</u>
Operating Revenues:			
Sources: LTF	\$ <u>1,782,805.00</u>	\$ <u>1,750,000.00</u>	\$ <u>1,900,000.00</u>
STA	<u>6,482.00</u>	<u>5,000.00</u>	<u>5,000.00</u>
Federal	<u>444,314.00</u>	<u>595,000.00</u>	<u>395,000.00</u>
Fares	<u>300,000.00</u>	<u>250,000.00</u>	<u>300,000.00</u>
General Fund	<u> </u>	<u> </u>	<u> </u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u>2,543,601.00</u>	\$ <u>2,600,000.00</u>	\$ <u>2,600,000.00</u>
Capital Expenses	\$ <u>55,000.00</u>	\$ <u>500,000.00</u>	\$ <u>600,000.00</u>
Capital Revenue			
Sources: LTF	\$ <u> </u>	\$ <u> </u>	\$ <u> </u>
STA	<u> </u>	<u> </u>	<u> </u>
Federal	<u>55,000.00</u>	<u>500,000.00</u>	<u>600,000.00</u>
Other	<u> </u>	<u> </u>	<u> </u>
Total	\$ <u>55,000.00</u>	\$ <u>500,000.00</u>	\$ <u>600,000.00</u>

FLEET INVENTORY
(Transit Vehicle Owners Only)

Make & Model	Year	# of Vehicles	Fuel Type	Standard Seat Capacity	# Wheel-chair Positions	Ramp (y/n)	Lift (y/n)
CNG DAR Buses	2001	6	CNG	48	24	n	y
NABI Low Floor	2000	5	CNG	185	10	y	n
Amtrans Senator	1991	1	CNG	33	2	n	y
Ford Senator	1996	2	UNL	44	10	n	y
Ford Senator	1996	3	UNL	66	6	n	y
Ford E-350	1995	5	CNG	16	10	n	y
Ford E-350	1995	2	CNG	16	4	n	y
Dupont Trolley	2001	1	CNG	37	2	n	y
TOTAL	NA	25	NA				

Vehicles to be Purchased in FY 2004-2005

No vehicles are scheduled for purchase in 04-05							

ARTICLE 4 OPERATOR TDA REQUIREMENTS

1. Fare Ratio/Local Support Requirements

All Article 4 claimants are required to maintain a specified ratio of fare revenue to operating cost. In addition, SMART only is required to maintain a ratio of fare revenue plus local support to operating cost of 32%. See 99268.2 - 99268.19 for details and exemptions pertaining to ratios.

A. What is this system's required farebox recovery ratio?

B. Does the attached budget demonstrate that this system will meet its required farebox recovery and for SMART its farebox plus local support ratios? _____

C. Has this system utilized its grace year? _____

D. Has this system been in non-compliance with its required ratio? _____

If yes, identify the year or years _____

2. Extension of Service/New Service

An extension of service or new service is exempt from the required farebox and local support ratios if:

A. The extension of service or new service has been in operation for less than two full fiscal years. The two-year extension of services exclusion applies until two years after the end of the fiscal year in which the extension of services was put into operation.

B. The claimant submits a report on the extension of services to the COG within 90 days after the end of the fiscal year. (For details of the report, see 6633.8(b)).

Is an extension of service/new service being claimed? _____

If so, has the required report been submitted for the most recently completed full fiscal year? _____ If not, that report must accompany this claim.

3. Operator's STA Qualifying Criteria (99314.6) EXPLANATION

A transit operator must meet one of two efficiency standards before STA funds may be allocated for operating purposes:

- A) The operator's operating cost per revenue vehicle hour, in the latest year for which audited data are available, must not exceed the sum of the preceding year's operating cost per revenue vehicle hour and an amount equal to the change in the Consumer Price Index (CPI)[†] for the San Francisco Region, multiplied by the preceding year's operating cost per revenue vehicle hour. The formula below accomplishes this exercise:

$$(\text{opcost/RVH})\text{FY03} \leq [(\text{opcost/RVH})\text{FY02}] * [1.00202] \quad \text{OR}$$

- B) The operator's average operating cost per revenue vehicle hour, in the latest three years for which audited data are available, must not exceed the sum of the average of the operating cost per revenue vehicle hour for the three years preceding the latest year for which audited data are available and an amount equal to the average change in the CPI for the same period. The formula below accomplishes this exercise:

$$\text{AVG}(\text{opcost/RVH})\text{FY01,02,03} \leq \{\text{AVG}(\text{opcost/RVH})\text{FY00,01,02}\} * \{1.0401\}$$

As used here, Operating Costs are defined by PUC Section 99247:

All costs in the operating expense object classes exclusive of the costs in the depreciation and amortization expense object class, and exclusive of all direct costs for providing charter services, and exclusive of all vehicle lease costs.

STA allows for other exclusions, to be granted by the COG, if deemed appropriate. These additional operating cost exclusions include:

- 1) Exclusion of cost increases beyond the change in the CPI for fuel, alternative fuel programs, insurance, or state and federal mandates.
- 2) Exclusion of start-up costs for new services for a period of not more than two years (refer to PUC Section 99268.8 for a definition of new service).

If you wish to claim these exclusions when calculating the operation cost per revenue vehicle hour, you must state the request and show calculations in support of the cost to be excluded.

[†] Percentage change across fiscal years using the California CPI.

Pursuant to PUC Section 99314.6 ©), funds withheld from allocation to an operator for failure to meet the STA efficiency criteria will be retained by COG for reallocation to that operator for two years following the year of ineligibility. Any STA funds not allocated before the commencement of the third year following the year of the eligibility shall be reallocated to cost effective, high priority regional transit activities, as determined by the COG.

The following documents pertain to the new STA efficiency standards and are available at your request:

PUC Section 99314.6, also known as Chapter 35 Statutes of 1991 (SB 3-Kopp).

The Uniform System of Accounts for Public Transit Operators.

Consumer Price Index Data for California, January, 1981 through February, 2004.

Transportation Development Act Audit Reports, FY 1992 through FY 2003.

Please complete the attached worksheet to determine if you fully qualify for your STA apportionment. TDA Audit reports will address this efficiency criteria.

3. Operator's STA Qualifying Criteria (99314.6) - WORKSHEET

FISCAL YEAR: (use audited data)	2001-02	2002-03	2003-04	2004-05
A. Operating Cost	_____	_____	_____	_____
B. Operating Cost Exclusions:				
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
4. _____	_____	_____	_____	_____
C. Adjusted Operating Cost (A-B)				
D. Revenue Vehicle Hours (RVH)	_____	_____	_____	_____
E. RVH Exclusions:				
1. _____	_____	_____	_____	_____
2. _____	_____	_____	_____	_____
3. _____	_____	_____	_____	_____
(if more, show on separate sheet)				
F. Adjusted RVH (D-E)	_____	_____	_____	_____
G. Operating Cost per RVH (C÷F)	W	X	Y	Z

Efficiency Standard 1:

Z must be less than or equal to $(Y) * (1.00202)$

Show calculation: _____

Efficiency Standard 2:

$[(X+Y+Z) \div 3]$ must be less than or equal to $<(W+X+Y) \div 3> * (1.0401)$

Show calculation: _____

=====For COG use only=====

Operator qualifies under: Standard 1	Yes _____	No _____
Standard 2	Yes _____	No _____

=====

4. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an extra page if necessary.

The budgeted salaries reflect a greater than 15% increase over the actual expenditures for the prior year. This is due to the City budgeting personnel costs at the top step for the upcoming years. The City expects the actual to be within the 15% expenditure ratio for actual at the end of the fiscal year.

5. Narrative Description (6632)

Please describe in the space below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities. Please attach an additional page if necessary.

6. Certification by the California Highway Patrol (6632)

Please attach a certification from the CHP verifying that the operator is in compliance with Section 1808.1 of the California Vehicle Code. This section concerns the "Driver's Pull Notice participation"

Is a Certificate Attached? Yes XX No

SPECIAL NOTES FOR RATIO CALCULATIONS

SMART - Exclude certain costs and fares as specified in the most recent Compliance Audit Report.

Article 8 Contractor TDA Requirements

For contracted transportation service providers, the San Joaquin Council of Governments' Executive Board has waived the farebox and local support ratios as it is empowered to do by 99405®). The COG Board has established a two-step process.

NOTE: Contributing claimants should proceed to page 23.

1. Match Requirement

For any Article 8 transit claim, no more than 90% of the total operating funds (minus depreciation) in the budget may be TDA (LTF and STA) derived. The ten percent or more matching funds may come from any other source available to the claimant besides TDA.

2. Operating Cost Per Passenger Objective

To receive an amount of TDA operating funds (LTF and STA combined) in excess of what was claimed the previous fiscal year, the claimant must establish an operating cost per passenger objective for the fiscal year of the claim. "Operating cost" is defined as in the TDA statutes and regulations. The objective should be a realistic one based on current and past system performance, but should be low enough to represent an "improvement" when warranted. The COG Board will adopt the system-wide operating cost per passenger objective for the fiscal year of the claim. Operating cost per passenger objectives must be established by November of each fiscal year.

If the system failed to meet its operating cost per passenger objective in the fiscal year prior to the fiscal year of the claim, then the claimant is only eligible to file a claim for the level of TDA operating funding received in that prior fiscal year. In the case of a unified transit system, each claimant would be limited to the prior year's level of TDA operating funding. If a system wishes to be eligible for increased TDA operating funding in a future fiscal year, then the claimant should identify an operating cost per passenger objective.

- a. What was the level of TDA operating funding received in the previous fiscal year for this system by this claimant (LTF plus STA)? \$ 1,808,001.00
- b. Does the attached budget information demonstrate at least a 10% match of non-TDA funds in FY 2003-04? yes

Does the FY 2004-2005 budget demonstrate a 10% match of non-TDA funds? yes

- c. Is this claim requesting more TDA operating funds than were received for this system by this claimant in the previous fiscal year? no
- d. If yes, did the system meet its operating cost per passenger objective in the previous fiscal year? n/a
(An affirmative answer should be documented in Part "e".)
- e. What was the last year's Operating Cost per Passenger Objective? \$8.65

What was the actual operating cost per passenger?

I.	FY 2003-2004 Operating Cost	\$ <u>2,462,212.49</u>
ii.	Total Passengers	<u>493,552.00</u>
iii.	Operating Cost Per Passenger (I /ii)	\$ <u>8.73</u>

- f. What is the Operating Cost per Passenger Objective for this claim?

iv.	Budgeted Operating Cost	\$ <u>2,543,601.00</u>
v.	Estimated Total Passengers	<u>520,000.00</u>
vi.	Projected Operating Cost per Passenger (iv/v)	\$ <u>4.89</u>
vii.	FY 2004-2005 OPERATING COST PER PASSENGER OBJECTIVE	\$ <u>8.97</u>

THE PROJECTED 04-05 OPERATING COST PER PASSENGER (vi) MUST BE LESS THAN OR EQUAL TO THE 04-05 OPERATING COST PER PASSENGER OBJECTIVE (vii)!

- viii. If this claim is for a unified transit system², has the contributing claimant been appraised of the planned system-wide objective set in vii. above?
n/a

² If this claim is for a unified transit system (definition page 23), all calculations and numbers for operating costs per passenger must include system totals.

3. Fifteen Percent Expenditure Increase (6632)

If any of the line items on the attached budget exceed by more than 15% the expenditure for that same item in the previous year's budget, then an explanation for that increase must be given below. Attach an additional page if necessary.

The difference in salaries of greater than 15% is due to the City practice of budgeting at the top step for salaries. The City expects actual costs to be within the 15% threshold for actual at the end of the fiscal year.

4. Narrative Description (6632)

Please describe below any changes in service characteristics from the previous fiscal year. This should specifically include any substantial increase or decrease in the geographic area served, major changes to the scope of operations, or addition of major new fixed facilities.

ARTICLE 8 CONTRACTOR TDA REQUIREMENTS (CONTRIBUTING CLAIMANTS)

In the case of a "unified transit system," this page is to be used by the "contributing claimant" rather than pages 20 through 22. A "unified transit system" is defined as one that has the same fare structure throughout the service area, but whose TDA expenses are claimed separately by two different TDA claimants. Additionally, to qualify as a unified transit system, all system TDA funding must be claimed under Article 8 (both claimants). "Contributing claimant" is defined as the claimant contributing a minority of the unified transit system's TDA funds. The claimant furnishing the majority of TDA funds is defined as the "primary claimant."

Currently, the following local transit services qualify as unified transit systems:

<u>FY 2004-2005 Unified Transit Systems</u>	<u>This Page Used by:</u>
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Tracy Transit	SMART
Tracy Taxi	SMART
Escalon Public Transit System	SMART
Manteca Dial a Ride	SMART
Lathrop (Currently inactive)	SMART

"Contributing claimants" need to answer the following questions:

1. Systemwide operating cost per passenger objective for FY 2004-2005 identified in primary claimant's adopted transit claim
(from that claim, page 21, (2) f. vii.) _____
2. Date of primary claimant's adopted transit claim (or anticipated future date, if not yet adopted)

IMPORTANT:

The operating cost per passenger objective identified above (page 21, (2) f. vii) will be applied uniformly to the total of City and SMART TDA funds used by the unified transit system, to determine eligibility for increased TDA funding as explained on page 20. Separate calculations will not be done for City and SMART.

PART II - PEDESTRIAN AND BICYCLE PROJECTS

LOCAL TRANSPORTATION FUND

[illegible]

(Use additional pages if necessary)

PART III - ROAD AND STREET PROJECTS

Please provide the requested information for each project being identified for Transportation Development Act funding.

LOCAL TRANSPORTATION FUND

[illegible]

(Use additional pages if necessary)

PART IV - OTHER PURPOSES

It is possible that a claimant may wish to expend TDA funds for purposes allowed within the Act, but not covered by the three previous parts. TDA funds may be claimed under Article 8 consistent with section 99400 of the TDA. To complete this section, on attached pages, identify:

- I. Project title
- ii. Applicable subdivision of section 99400
- iii. Project description
- iv. Estimated total cost
- v. TDA contribution to that total

A separate page or pages should be submitted for each specific project or purpose.

It is **strongly recommended** that the claimant consult with COG staff before completing this section.

M:\TDA\TDA-05\tdaclm05.wpd

RESOLUTION NO. 2004-200

A RESOLUTION OF THE LODI CITY COUNCIL
AUTHORIZING THE CITY MANAGER TO FILE
THE 2004-05 CLAIM FOR TRANSPORTATION
DEVELOPMENT ACT FUNDS ON BEHALF OF
THE CITY OF LODI

=====

NOW, THEREFORE, BE IT RESOLVED that the Lodi City Council does hereby approve the City's 2004-05 Transportation Development Act (TDA) claim for Local Transportation Funds (LTF) in the following amounts:

\$2,229,362.00 Transportation Development Act (TDA) funds (including
\$122,000 in unexpended carryover)

\$ 6,482.00 State Transit Assistance (STA)

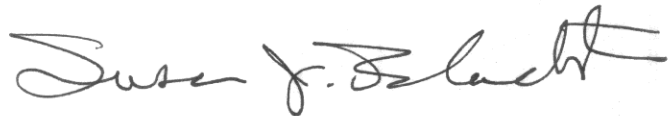
BE IT FURTHER RESOLVED that the Lodi City Council does hereby authorize the City Manager to execute the claim on behalf of the City of Lodi.

Dated: October 6, 2004

=====

I hereby certify that Resolution No. 2004-200 was passed and adopted by the Lodi City Council in a regular meeting held October 6, 2004, by the following vote:

AYES: COUNCIL MEMBERS – Beckman, Hitchcock, and Howard
NOES: COUNCIL MEMBERS – None
ABSENT: COUNCIL MEMBERS – Land and Mayor Hansen
ABSTAIN: COUNCIL MEMBERS – None



SUSAN J. BLACKSTON
City Clerk